

Receipt date: 11/24/2006

1700058951033403751


NOV 24 2006
U.S. PATENT & TRADEMARK OFFICE


PTO/SB/21 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/589,510
	Filing Date	August 15, 2006
	First Named Inventor	Kouki SAITO
	Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	11	Attorney Docket Number NIWA

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	James C. Wray		
Signature			
Printed name	James C. Wray		
Date	11/22/2006	Reg. No.	22,693

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Julie H. Gamotlis	Date	11/22/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

SAITO, Kouki

Serial No. 10/589,510

Filed: August 15, 2006

For: ROTATING RETRACTABLE WRITING INSTRUMENT



Art Unit:

Examiner:

INFORMATION DISCLOSURE STATEMENT

To the Director of the Patent and Trademark Office

Sir:

Under the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, applicant submits herewith copies of publications that the Patent Office may wish to consider in examination of the subject application. The publications are listed on the attached Form PTO 1449 (modified).

Respectfully,

A handwritten signature in dark ink, appearing to read "James C. Wray".

James C. Wray, Reg. No. 22,693
Robert W. Gibson, Reg. No. 57,145
1493 Chain Bridge Road
Suite 300
McLean, Virginia 22101
Tel: (703) 442-4800
Fax: (703) 448-7397

November 22, 2006

Sheet 1 of 1		FORM PTO 1449 (modified)		ATTY DOCKET NO. NIWA		SERIAL NO. 10/589,510	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				APPLICANT SAITO, Kouki			
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) NOV 24 2006				FILING DATE August 15, 2006		GROUP	
Date Submitted to PTO: November 13, 2006							
U.S. PATENT DOCUMENTS							
* EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
/T.N./	AG	JP 7/32133	7/26/1995	Japan			X
/T.N./	AH	JP 45/24978	9/30/1970	Japan			X
/T.N./	AI	JP 63/87072	6/7/1988	Japan			X
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AP						
	AQ						
	AR						
EXAMINER /Tuan Nguyen/				DATE CONSIDERED 09/15/2008			